

## **KYC - CUSTOMER PROFILE**

Name       Nationality         Address (Office)       Telephone         Address (Home)       Telephone         Occupation/Line of Business       Telephone         Occupation/Line of Business       Mointroduced you to the bank?         Profession/Occupation       Profession/Occupation         Name of Company       Job Title         ADDITIONAL INFORMATION       Annual Income         Type to operate Account       Cash         Ifindividuals       Amount (expected annual)         If Corporate or moly limites       Amount (Expected Annual Turnover of Funds to operate Account         Ifindividuals       Amount (expected annual)         If Corporate or moly limites       Income         Salaries       Income         Business income       Pretailing         Salaries       Income         Others       Others         Others       Others         Others       Income         Investments       Director's Fees         Dividend       Dividend         Traching       Client Profile: Provide a snapshot of customer, sourc of funds, expected account activity, background etc.	Date		Branch			A/C No	
Address (Home)       Telephone         Occupation/Line of Business	Name					Nationality	
Occupation/Line of Business         Who introduced you to the bank?         Profession/Occupation         Name of Company.	Address (Office)					Telephone	
Who introduced you to the bank?         Profession/Occupation         Name of Company         Job Title         Self Employed?         Yes         No         ADDITIONAL INFORMATION         Type and source of funds to operate account         Type to operate Account         Cash       Manager's Cheque         If Individuals       Amount (expected annual)         If Individuals       Amount (expected annual)         Salaries       Trading         Business income       Trading         Sale of Property       Others         OTHER THAN INCOME FROM YOUR OCCUPATION, PLEASE TELL US ANY OTHER SOURCE OF INCOME EARNED BY YOU.       Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.	Address (Home)					Telephone	
Profession/Occupation         Name of Company         Job Title         Self Employed?       Yes         Yes       No         ADDITIONAL INFORMATION         Type and source of funds to operate account         Type to operate Account       Cash         If individuals       Amount (expected annual)         If individuals       Amount (expected annual)         Business income       If Corporate or individual in business         Salaries       If rading         Sale of Property       Other         Others       Other         Others       Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.	Occupation/Line of Bu	usiness					
Name of Company         Job Title       Annual Income         Self Employed?       Yes       No         ADDITIONAL INFORMATION         Type and source of funds to operate account       TT/Inward Remittance         Type to operate Account       Cash       Manager's Cheque       TT/Inward Remittance         Source of Funds to operate Account       If Corporate or individual in business       Amount (Expected Annual Turnover individual in business         Salaries       Image: Trading       Retailing         Sale of Property       Other       Other         Others       Image: Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.         Investments       Director's Fees       Dividend	Who introduced you to	o the bank?					
Job Title   Self Employed?   Yes   No	Profession/Occupatio	n					
Self Employed?       Yes       No         ADDITIONAL INFORMATION         Type and source of funds to operate account         Type to operate Account       Cash       Manager's Cheque       TT/Inward Remittance         Source of Funds to operate Account       If Corporate or individuals       Amount (expected annual)       If Corporate or individual in business         Salaries       Image: Imag	Name of Company						
Type and source of funds to operate account         Type to operate Account       Cash       Manager's Cheque       TT/Inward Remittance         Source of Funds to operate Account       If Corporate or individuals       Amount (expected annual)       If Corporate or individual in business         Salaries						Annual Income	
Initial Module (Expected an indai)         Initial Sector and the expected an	Type to operate Account	Cash		ger's Cheque	Т	T/Inward Remi	ttance
Business income       Retailing         Sale of Property       Other         Others       Other         OTHER THAN INCOME FROM YOUR OCCUPATION, PLEASE TELL US ANY OTHER       Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.         Investments       Director's Fees       Dividend	If individuals Amount		t (expected annua	al)			Amount (Expected Annual Turnover)
Sale of Property       Other         Others       Other         OTHER THAN INCOME FROM YOUR OCCUPATION, PLEASE TELL US ANY OTHER       Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.         Investments       Director's Fees       Dividend	Salaries						Trading
Sale of Property       Other         Others       Other         OTHER THAN INCOME FROM YOUR OCCUPATION, PLEASE TELL US ANY OTHER SOURCE OF INCOME EARNED BY YOU.       Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.         Investments       Director's Fees       Dividend							
OTHER THAN INCOME FROM YOUR OCCUPATION, PLEASE TELL US ANY OTHER       Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.         Investments       Director's Fees       Dividend							
	OTHER THAN INCOME FI SOURCE OF INCOME EAR	Director's Fees	s Divid	lend			
Product Amount Product Amount	Product	Amount	Product	Amo	ount		
Investment Deposits (Money Market) Standing Order							
Manager's Cheque/Transfers     Electronic Banking	Manager's Cheque/Transfers						
Loans (Secured Advances) Hold Mail Box							
Others Others	Others						

Account Officer

Relationship Manager



## Anti-money Laundering Risk Management Worksheet

1. Is the customer's core business activity one of the defined "High Risk Business" and if so, which?

No							
	Yes. Management concurs with the "High Risk" assessment and opening the account subject to High Risk Account Monitoring						
Yes. Mar	Yes. Management judge mentally assesses the account as "Low Risk" (provide justification below)						
2. Is the customer located in a "High Risk" geo who are locate din such geopgraphies	ography and/or does the customer deal principally with customer or suppliers						
No							
Yes. (Lis	t which countries)						
3. Is the customer: A Public	Figure?						
	d to handle business activity on the account?						
Expected to use High Risk Products?							
	be "High Risk". If judgementally classified "Low Risk", then explain.						
JUSTIFICATION/COMMENTS							
CLASSIFICATION High Risk Low Risi							
Account Officer	Relationship Manager						
* Relationship Manager not below the level of Manager							
VISITATION REPORT Full Name of Staff Visiting							
StaffID	Date of Visitation						
Address Visited	[						
Met With (Name)	Met With - (Designation)						
Location - (Color of Building during Visit)	Location - (Land Mark)						
Location - (Description of Building)							
Other Remarks							
I hereby confirm the existence of the prospective C	ustomers address as stated above.						
Visiting Staff Signature	Date Signed						